



# Sway Parish Council

Jubilee Field Pavilion, Station Road, Sway, SO41 6BE  
01590 718116  
clerk@sway-pc.gov.uk

## Sway Parish Council Disciplinary Procedure

### **Introduction**

This disciplinary procedure is designed to help and encourage employees to achieve and maintain acceptable standards of conduct and job performance at all times, including the need to:

- Fulfil the duties specified in their contract of employment.
- Be honest and act beyond suspicion of dishonesty.
- Maintain high standards of integrity and conduct to protect the Council's image and reputation with the public.

This policy sets out the disciplinary procedure that will normally be followed in the event of misconduct. The following list provides examples of conduct that will normally be regarded as misconduct leading to disciplinary proceedings:

- Unsatisfactory timekeeping
- Absenteeism including any absence from work during a working day without prior authorisation or instruction.
- Failure to comply with rules and regulations applicable to job requirements/
- Failure by an employee to perform the duties and responsibilities of his/her post to the standard expected by the Council.
- Any other conduct that from time to time is defined by the Council as amounting to misconduct.

The procedure applies to all employees of Sway Parish Council.

### **Verbal Warnings**

Verbal warnings are issued for most first instances of general misconduct depending on the seriousness of the offence. If the employee is given a verbal warning, he/she will be warned of the likely consequences of any further disciplinary offences or a failure to improve his/her conduct to the satisfaction of the Council. A note confirming the verbal warning will be placed on the employee's personnel file and a copy given to the employee. A verbal warning normally remains in force for 6 months.

The verbal warning stage of the procedure may be omitted if the offence is of a sufficiently serious nature.

### **First Written Warning**

In the case of a serious offence or repetition of an earlier minor offence, the employee will normally be given a first written warning which sets out:

- The nature of the offence and the improvement required (if appropriate) and over what period;
- The likely consequences of any further offence or failure by the employee to improve his/her conduct to an acceptable standard;
- That further offences will result in more serious disciplinary action; and
- The employees right of appeal.

The first written warning stage of the procedure may be omitted if the offence is of a sufficiently serious nature.



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## **Final Written Warning**

If further misconduct occurs within the time period specified in a first written warning or if the misconduct is sufficiently serious the employee will be given a final written warning setting out:

- The nature of the offence and the improvement required (if appropriate) and over what period;
- The likely consequences of any further offence or failure by the employee to improve his/her conduct to an acceptable standard;
- That further offences will result in more serious disciplinary action up to and including dismissal; and
- The employees right of appeal.

Final written warnings may also be issued in circumstances where the misconduct does not amount to gross misconduct but is sufficiently serious enough to warrant only one written warning.

A final written warning will normally remain in force for 12 months.

## **Standard Council Disciplinary Procedure**

In the case of further misconduct within the time period specified in any final written warning or if the misconduct is sufficiently serious and the Council deems it to be appropriate to contemplate the dismissal, demotion or suspension (without pay) of the employee the following disciplinary procedure will be followed.

The Council will investigate the alleged misconduct and will establish the facts surrounding the complaint as necessary, taking into account the statements of any available witnesses.

The Council will set out in writing the alleged conduct or other circumstances which lead the Council to contemplate dismissing the employee or taking disciplinary action against the employee and the basis for the allegation and will send the employee a copy of the statement inviting the employee to attend a disciplinary meeting to discuss the matter. The employee will be provided with a reasonable opportunity to consider his/her response to the information provided in the statement before attending the meeting. The employee must take all reasonable steps to attend the meeting.

Disciplinary meetings will normally be convened within 5 working days of the Council sending the employee the written statement referred to above. The employee may be accompanied to any disciplinary meeting by a person of their choice which could include a fellow employee or by a representative of a trade union. The Council will be represented by the Clerk.

If the time or date proposed for the meeting is inconvenient (either for the employee or their companion), the employee may ask to postpone the meeting by up to 5 working days.

The meeting may be adjourned to allow matters raised during the course of the meeting to be investigated, or to afford the Clerk time to consider their decision.

After the meeting, the Council will inform the employee in writing of their decision and any applicable sanction within 5 working days.

If the employee wishes to appeal against the decision he/she must notify the Council in writing within 5 working days of receiving written notice of the decision.



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If the employee notifies the Council that he/she wishes to appeal, the employee will be invited to attend a disciplinary appeal meeting. The employee must take all reasonable steps to attend that appeal meeting. The employee has the right to be accompanied to a disciplinary appeal meeting by a fellow employee or by a representative of a trade union.

A disciplinary appeal hearing will normally be convened within 7 working days of the Council receiving notification that the employee wishes to appeal. If the meeting is inconvenient for the employee or their companion, he/she may ask to postpone the meeting by up to 5 working days.

Any new evidence that the employee wishes to put forward will be considered, as will any new evidence from the Council. The original disciplinary penalty will be reviewed.

The disciplinary appeal sanction originally imposed cannot be increased upon appeal.

The disciplinary appeal meeting will not necessarily take place before any disciplinary sanction imposed by the Council takes effect. If the employee's appeal is against dismissal and the appeal is successful the employee will be reinstated and continuity of employment will be preserved.

The meeting may be adjourned to allow matters raised during the course of the meeting to be investigated or to afford the Council time to consider its decision.

After the disciplinary appeal meeting, the Council will inform the employee in writing of its final decision within 5 working days.

## **Council Dismissal Procedure for Use in Gross Misconduct**

The following list provides examples of conduct that will normally be regarded by the Council as Gross Misconduct:

- Refusal or repeated failure by an employee to carry out his/her duties.
- Falsification of documents or information (including expense claims).
- Unauthorised disclosure of confidential information.
- Assaulting a fellow employee or any other person whilst acting or purporting to act on behalf of the Council.
- Insulting, indecent or offensive behaviour towards a fellow employee or any other person whilst acting or purporting to act on behalf of the Council.
- Serious or repeated harassment (including sexual or racial harassment).
- Incapacity at work due to the influence of alcohol, unprescribed drugs or any other substance.
- Wilful damage to Council property.
- Theft, unauthorised use or possession of Council property or theft of the property of a fellow employee.
- Conduct bringing the Council into disrepute.
- Any other conduct that from time to time is defined by the Council as amounting to gross misconduct.

If an employee is accused of any Gross Misconduct he/she may be suspended from work on full pay pending the outcome of an investigation into the alleged offence(s). Such a period of suspension will not normally exceed 10 working days unless there are exceptional circumstances.



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The Council will investigate the matter and will establish the facts surrounding the complaint as necessary, taking into account the statement of any available witnesses. As part of that investigation the employee will be interviewed.

If the Council believes the employee is guilty of gross misconduct his/her employment will be terminated summarily without notice or pay in lieu of notice.

The Council will send the employee a statement setting out the allegations of misconduct that led to the employee's dismissal and the Council's basis for thinking that the employee is guilty of that misconduct. The date on which the employment terminated will be confirmed to the employee and the employee may be reminded of any continuing obligations he/she may have following the termination of employment. This statement will also explain the employee's right to appeal against the Council's decision.

If the employee wishes to appeal against the Council's decision he/she must notify the Council in writing within 5 working days receiving notice of the Council's decision.

If the employee appeals, the Council will invite them to attend a disciplinary appeal meeting. The employee must take all reasonable steps to attend the meeting. The employee has the right to be accompanied to a disciplinary appeal meeting by a fellow employee or by a representative of a trade union.

Any disciplinary appeal hearing will normally be convened within 7 working days of the Council receiving notification that the employee wishes to appeal. If the date of the meeting is inconvenient for the employee or their companion, he/she may ask to postpone the meeting by up to 5 working days.

Any new evidence that the employee wishes to put forward will be considered, as will any new evidence from the Council. The original disciplinary penalty will be reviewed.

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The meeting may be adjourned to allow matters raised during the course of the meeting to be investigated or to afford the Council time to consider its decision.

After the disciplinary appeal meeting, the Council will inform the employee in writing of its final decision within 5 working days.

## **General Procedural Information**

Verbal warnings and written warnings will normally be issued by the Clerk. Disciplinary proceedings raised under the standard council disciplinary procedure will be investigated by three Councillors nominated by the Council and any meetings to discuss the disciplinary proceedings will include the Clerk.

Where disciplinary proceedings are instigated against the Clerk, verbal and written warnings will be given by the Chairman of the Parish Council. Any investigations and any meetings will be carried out by three Councillors nominated by the Council. Any disciplinary appeal meeting will be conducted by 2 Councillors not involved in the original hearing.